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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Fallaux et al.

**Serial No.:** 10/038,271

**Filed:** October 23, 2001

**For:** PACKAGING SYSTEMS FOR  
HUMAN RECOMBINANT ADENOVIRUS  
TO BE USED IN GENE THERAPY

**Confirmation No.:** 8381

**Examiner:** D. Nguyen

**Group Art Unit:** 1632

**Attorney Docket No.:** 2578-3833.6US

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 5, 2004  
Date

*Aubry Blackburn*  
Signature

Aubry Blackburn  
Name (Type/Print)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Publication Date</u>	<u>Patentee</u>
US - 6,051,430	04-18-2000	Plasterk et al.
US - 6,140,103	10-31-2000	Einerhand et al.
US - 6,204,052	03-20-2001	Bout et al.
US - 6,232,105	05-15-2001	Einerhand et al.
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US - 6,265,212	07-24-2001	Fallaux et al.
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US - 2002/0164802 A1	11-07-2002	Fallaux et al.
US- 6,210,939	04-2001	Gregory et al.
US- 5,824,544	10-1998	Armentano et al.
US- 2004/0087027 A1	05-06-2004	Gregory et al.

Foreign Patent Documents

<u>Document No.</u>	<u>Publication Date</u>	<u>Patentee</u>
WO 97/00326	01-03-1997	Introgene B.V.
EP 0 117 060	08-29-84	Genentech, Inc.

Serial No.: 10/038,271

Respectfully submitted,

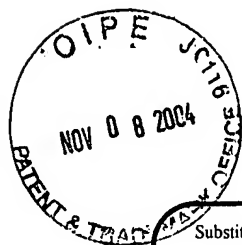


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Date: November 5, 2004  
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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 Of 1

Complete if Known

Application Number	10/038,271
Filing Date	October 23, 2001
First Named Inventor	Fallaux et al.
Group Art Unit	1632
Examiner Name	D. Nguyen
Attorney Docket Number	2578-3833.6US

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,051,430	04-18-2000	Plasterk et al.	
		US- 6,140,103	10-31-2000	Einerhand et al.	
		US- 6,204,052	03-20-2001	Bout et al.	
		US- 6,232,105	05-15-2001	Einerhand et al.	
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		US- 2002/0164802 A1	11-07-2002	Fallaux et al.	
		US- 6,210,939	04-2001	Gregory et al.	
		US- 5,824,544	10-1998	Armentano et al.	
		US- 2004/0087027 A1	05-06-2004	Gregory et al.	
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		US-			
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		US-			

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 97/00326	01-03-1997	Introgene B.V.		
		EP 0 117 060	08-29-84	Genentech, Inc.		

Examiner  
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